



PATENT  
Customer Number 22,852  
Attorney Docket No. 06999.0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Austin SMITH et al. ) Group Art Unit: 1645  
Serial No.: 09/686,880 ) Examiner: Not yet assigned  
Filed: October 12, 2000 )  
For: LINEAGE SPECIFIC CELLS AND )  
PROGENITOR CELLS )

COPY OF PAPERS  
ORIGINALLY FILED

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of December 18, 2000, Applicants submit a Declaration/Power of Attorney for filing in this application, the required fee of \$130.00, and a copy of the Notice of Missing Parts.

Please associate the enclosed declaration with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 9, 2001

By: 

Leslie A. McDonell  
Reg. No. 34,872



COPY OF PAPERS  
ORIGINALLY FILED

PATENT  
Attorney Docket Number: 06999.0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application: PCT/GB99/01136

Art Unit: Not yet assigned

Examiner: Not yet assigned

SIR: This is a request for filing a

☒ Continuation ☐ Continuation-in-Part ☐ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. PCT/GB99/01136 filed April 14, 1999, of Austin SMITH and Meng LI for LINEAGE SPECIFIC CELLS AND PROGENITOR CELLS.

1. ☒ Enclosed is a copy of the prior application including the drawings, as originally filed, and published by WIPO. I hereby verify that the attached papers are a true copy of prior application Serial No. PCT/GB99/01136 as published on October 21, 1999.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ☐ Cancel Claims \_\_\_\_\_.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

Basic Application Filing Fee					\$710	\$ 710.00
	Number of Claims		Basic	Extra Claims		
Total Claims	25	-	20	5	x \$18	90.00
Independent Claims	2	-	3		x \$80	
- <input type="checkbox"/> Presentation of Multiple Dep. Claim(s)					+\$270	
Subtotal						\$ 800.00
Reduction by 1/2 if small entity						-
TOTAL APPLICATION FILING FEE						\$ 800.00

6. ☒ A check in the amount of \$800.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:  
 --This is a ☒ continuation ☐ division of application Serial No. PCT/GB99/01136, filed April 14, 1999, which is incorporated herein by reference.--
9. ☐ New formal drawings are enclosed.
10. ☐ The prior application is assigned of record to:
11. ☒ Priority of application Serial No. 9807935.3, filed on April 14, 1998 in Great Britain is claimed under 35 U.S.C. § 119. A certified copy  
☐ is enclosed or ☒ is on file in the prior application.
12. ☐ A verified statement claiming small entity status  
☐ is enclosed or ☐ is on file in the prior application.
13. ☒ An unexecuted Declaration and Power of Attorney is enclosed.
14. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.
15. ☐ Also enclosed is \_\_\_\_\_

LAW OFFICES

FINNEGAN, HENDERSON,  
 FARABOW, GARRETT,  
 & DUNNER, L.L.P.  
 1300 I STREET, N. W.  
 WASHINGTON, DC 20005  
 202-408-4000

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial no.PCT/GB99/01136, filed April 14, 1999, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 12, 2000

By: Leslie A. McDonell  
Leslie A. McDonell  
Reg. No. 34,872



COPY OF PAPERS  
ORIGINALLY FILED

6999.0009

CPE/LAM

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Austin SMITH, *et al.*

Serial No.: 09/686,880

Group Art Unit: 1645

Filed: October 12, 2000

Examiner: Not yet assigned

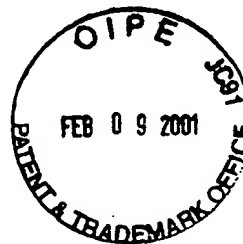
For: LINEAGE SPECIFIC CELLS AND PROGENITOR CELLS

- 
1. Response to Notice to File Missing Parts of Application
  2. Declaration/Power of Attorney
  3. Copy of Notice to File Missing Parts
  4. Check for \$130.00

Dated: February 9, 2001

Docket No.: 06999.0009

~~CONFIDENTIAL - NOT FOR DISCLOSURE~~



(Due Date: 2/18/01)

DND 2-9-01 TB